



National Audit Office

Department of Health

Financial sustainability of the NHS

Appendix Four

NOVEMBER 2016

Appendix Four

Trusts with deficits greater than 5% of their income, 2015-16

The National Audit Office report *Financial sustainability of the NHS* found that in 2015-16, there were 57 trusts that had deficits that made up more than 5% of their income. This appendix shows which trusts these were.

Figure 1

Trusts with deficits greater than 5% of their income

Trust	Status of the trust	Type of trust	2013-14 surplus or deficit	2014-15 surplus or deficit	2015-16 surplus or deficit	2015-16 deficit as percentage of total income (%)	2016-17 planned year end position (including Sustainability and Transformation Funding) ⁷
North Cumbria University Hospitals NHS trust	NHS trust	Acute	-27,133	-16,442	-62,997	-26.91	-49,500
Sherwood Forest Hospitals NHS Foundation Trust	NHS foundation trust	Acute	-23,502	-32,597	-55,046	-20.84	-57,018
Medway NHS Foundation Trust	NHS foundation trust	Acute	-10,361	-33,975	-52,741	-20.70	-43,686
The Princess Alexandra Hospital NHS trust	NHS trust	Acute	-16,403	-21,998	-37,714	-19.23	-29,665
Milton Keynes Hospital NHS Foundation Trust	NHS foundation trust	Acute	-16,758	-24,885	-31,513	-16.45	-25,014
Worcestershire Acute Hospitals NHS trust	NHS trust	Acute	-14,191	-25,918	-59,831	-16.22	-34,583
Hinchingbrooke Health Care NHS trust	NHS trust	Acute	0	-13,993	-17,070	-15.20	-9,800

Figure 1 *continued*

Trusts with deficits greater than 5% of their income

Trust	Status of the trust	Type of trust	2013-14 surplus or deficit	2014-15 surplus or deficit	2015-16 surplus or deficit	2015-16 deficit as percentage of total income (%)	2016-17 planned year end position (including Sustainability and Transformation Funding) ⁷
Yeovil District Hospital NHS Foundation Trust	NHS foundation trust	Acute	14	-7,443	-18,605	-14.74%	-15,308
Peterborough and Stamford Hospitals NHS Foundation Trust	NHS foundation trust	Acute	-36,757	-38,521	-37,019	-14.18%	-20,150
Colchester Hospital University NHS Foundation Trust	NHS foundation trust	Acute	-1,478	-22,174	-38,209	-14.16%	-31,707
University Hospitals of Morecambe Bay NHS Foundation Trust	NHS foundation trust	Acute	-18,809	-27,140	-39,904	-13.90%	-17,059
East Cheshire NHS trust	NHS trust	Acute	262	109	-23,899	-13.87%	-19,600
Mid Essex Hospital Services NHS trust	NHS trust	Acute	-19,348	-33,352	-39,887	-13.81%	-26,600
Tameside Hospital NHS Foundation Trust	NHS foundation trust	Acute	-3,618	-18,527	-22,750	-13.77%	-17,135
West Hertfordshire Hospitals NHS trust	NHS trust	Acute	-13,370	-13,837	-41,155	-13.73%	-22,553
Croydon Health Services NHS trust	NHS trust	Acute	-19,683	-27,532	-34,493	-13.51%	-39,810*
East Sussex Healthcare NHS trust	NHS trust	Acute	-23,094	88	-47,997	-13.48%	-31,300
United Lincolnshire Hospitals NHS trust	NHS trust	Acute	-25,813	-15,161	-56,917	-13.44%	-47,900

Figure 1 *continued*

Trusts with deficits greater than 5% of their income

Trust	Status of the trust	Type of trust	2013-14 surplus or deficit	2014-15 surplus or deficit	2015-16 surplus or deficit	2015-16 deficit as percentage of total income (%)	2016-17 planned year end position (including Sustainability and Transformation Funding) ⁷
London North West Healthcare NHS trust	NHS trust	Acute	–	-55,886	-88,245	-13.25%	-61,500
Wye Valley NHS trust	NHS trust	Acute	1,029	844	-20,456	-11.49%	-28,500*
George Eliot Hospital NHS trust	NHS trust	Acute	-10,165	367	-15,235	-11.38%	-14,715
Cambridge University Hospitals NHS Foundation Trust	NHS foundation trust	Acute	-8,392	-16,889	-77,343	-10.98%	-68,933*
Bedford Hospital NHS trust	NHS trust	Acute	-8,703	-19,754	-17,940	-10.29%	-10,200
Doncaster and Bassetlaw Hospitals NHS Foundation Trust	NHS foundation trust	Acute	3,046	-14,845	-36,357	-10.17%	-26,468
Barts Health NHS trust	NHS trust	Acute	-38,270	-79,642	-134,881	-10.05%	-82,700
North Bristol NHS trust	NHS trust	Acute	5,605	-19,740	-51,561	-9.48%	-48,033*
Southport and Ormskirk Hospital NHS trust	NHS trust	Acute	1,950	-896	-17,202	-9.44%	-6,600
Barnsley Hospital NHS Foundation Trust	NHS foundation trust	Acute	-7,307	-11,800	-16,244	-9.27%	-8,024
Burton Hospitals NHS Foundation Trust	NHS foundation trust	Acute	-1,694	-10,443	-16,004	-8.65%	-9,885
Basildon and Thurrock University Hospitals NHS Foundation Trust	NHS foundation trust	Acute	-8,701	-23,738	-25,963	-8.49%	-15,443

Figure 1 *continued*

Trusts with deficits greater than 5% of their income

Trust	Status of the trust	Type of trust	2013-14 surplus or deficit	2014-15 surplus or deficit	2015-16 surplus or deficit	2015-16 deficit as percentage of total income (%)	2016-17 planned year end position (including Sustainability and Transformation Funding)?
Brighton and Sussex University Hospitals NHS trust	NHS trust	Acute	5,114	-450	-44,819	-8.46%	-15,570
Plymouth Hospitals NHS trust	NHS trust	Acute	-12,988	-4,989	-35,996	-8.32%	-35,600*
Ipswich Hospital NHS trust	NHS trust	Acute	50	-11,893	-22,098	-8.30%	-20,105
The Queen Elizabeth Hospital King's Lynn NHS Foundation Trust	NHS foundation trust	Acute	-12,863	-14,802	-13,858	-8.10%	-5,326
East Midlands Ambulance Service NHS trust	NHS trust	Ambulance	61	65	-12,245	-7.95%	-11,723*
Warrington and Halton Hospitals NHS Foundation Trust	NHS foundation trust	Acute	-2,301	-5,774	-17,310	-7.93%	-7,917
Northern Lincolnshire and Goole Hospitals NHS Foundation Trust	NHS foundation trust	Acute	-5,152	-19,638	-25,266	-7.50%	-11,986
Northampton General Hospital NHS trust	NHS trust	Acute	197	-16,525	-20,151	-7.37%	-15,129
St George's Healthcare NHS Foundation Trust	NHS foundation trust	Acute	6,032	-14,115	-55,115	-7.34%	-16,851
Weston Area Health NHS trust	NHS trust	Acute	-4,683	-3,902	-6,965	-7.07%	-3,200

Figure 1 *continued*

Trusts with deficits greater than 5% of their income

Trust	Status of the trust	Type of trust	2013-14 surplus or deficit	2014-15 surplus or deficit	2015-16 surplus or deficit	2015-16 deficit as percentage of total income (%)	2016-17 planned year end position (including Sustainability and Transformation Funding) ⁷
Liverpool Women's NHS Foundation Trust	NHS foundation trust	Acute	267	-2,725	-7,206	-7.05%	-6,992
Southend University Hospital NHS Foundation Trust	NHS foundation trust	Acute	546	-9,834	-19,487	-7.00%	-16,174
Heart of England NHS Foundation Trust	NHS foundation trust	Acute	-598	-5,609	-46,975	-6.99%	-13,476
Epsom and St Helier University Hospitals NHS trust	NHS trust	Acute	-7,400	78	-25,788	-6.92%	-15,053
Lancashire Teaching Hospitals NHS Foundation Trust	NHS foundation trust	Acute	-2,226	-2,770	-29,514	-6.77%	-10,436
Barking, Havering and Redbridge University Hospitals NHS trust	NHS trust	Acute	-37,754	-37,950	-33,719	-6.67%	-11,900
King's College Hospital NHS Foundation Trust	NHS foundation trust	Acute	-10,292	-47,530	-65,395	-6.13%	-1,600
South Tyneside NHS Foundation Trust	NHS foundation trust	Acute	-1,024	-2,692	-12,197	-6.07%	-2,918
Calderdale and Huddersfield NHS Foundation Trust	NHS foundation trust	Acute	2,690	-4,579	-20,980	-5.99%	-16,153
East Kent Hospitals University NHS Foundation Trust	NHS foundation trust	Acute	4,692	-8,113	-31,777	-5.92%	612
Maidstone and Tunbridge Wells NHS trust	NHS trust	Acute	-12,374	157	-23,413	-5.84%	-22,927*

Figure 1 *continued*

Trusts with deficits greater than 5% of their income

Trust	Status of the trust	Type of trust	2013-14 surplus or deficit	2014-15 surplus or deficit	2015-16 surplus or deficit	2015-16 deficit as percentage of total income (%)	2016-17 planned year end position (including Sustainability and Transformation Funding) ⁷
The Royal Orthopaedic Hospital NHS Foundation Trust	NHS foundation trust	Acute	2,168	-432	-4,463	-5.63%	-3,200
Nottingham University Hospitals NHS trust	NHS trust	Acute	701	750	-47,154	-5.42%	-21,987
Kettering General Hospital NHS Foundation Trust	NHS foundation trust	Acute	-6,503	-6,922	-11,170	-5.10%	-5,966
Derby Hospitals NHS Foundation Trust	NHS foundation trust	Acute	-8,847	-21,231	-25,634	-5.03%	-11,216
The Whittington Hospital NHS trust	NHS trust	Acute	1,165	-7,342	-14,788	-5.03%	-6,400
Wirral University Teaching Hospital NHS Foundation Trust	NHS foundation trust	Acute	-3,220	-4,681	-15,357	-5.02%	328

Notes

- Hinchingbrooke Health Care NHS Trust ran a deficit in 2013-14. This was covered by £4.8 million in payments from Circle as part of their franchise agreement with the trust.
- London North West Healthcare NHS Trust formed from the merger of North West London Hospitals and Ealing Hospital NHS Trust on 1 October 2015.
- For the mergers of trusts, we have added the demising trusts' income, expenditure and surplus/deficit arising between 1 April 2015 and the date of merger and added it to the income, expenditure and surplus/deficit of the post-transaction trust. This has the effect of treating the merger as if it had occurred on 1 April 2015.
- In our report Sustainability and financial performance of acute hospital trusts, we reported Doncaster and Bassetlaw Hospitals NHS Foundation Trust as having a surplus of £1.6 million in 2014-15. During 2015-16, it emerged that its financial position had been misreported by the trust - the corrected year-end position for 2014-15 is a deficit of £14.8 million. This increased the net deficit reported in 2014-15 for trusts to £859 million from £843 million and increased the net deficit for all NHS bodies to £487 million from £471 million. The restated figures for 2014-15 are used in the above table.
- The 2016-17 planned position data is unaudited and taken from analysis and planning completed by NHS Improvement.
- The Department, NHS England and NHS Improvement set aside £2.14 billion for a 2016-17 Sustainability and Transformation Fund, of which £1.8 billion will be used to help trusts sustain services and reduce deficits. Trusts must meet financial targets (control totals) and key access targets in order to access the £1.8 billion of sustainability funding from the Sustainability and Transformation Fund.
- Those trusts marked with an asterisk (*) had not accepted their financial target (control total) at the time the financial reset document was published on 21 July 2016. Sustainability and Transformation Funding has not, therefore, been included in the planned totals for these trusts.

Source: National Audit Office analysis of NHS trusts and NHS foundation trusts accounts data, 2012-13 to 2015-16

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